



UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, DC 20231
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DATE MAILED: March 14, 2001
PAPER NO. 21

JONATHAN O. OWENS
260 SHERIDAN AVENUE, SUITE 420
PALO ALTO, CA 94306

In re Application of : DANIEL C. HAEG
Application No. : 09/417,157
Filed: : 10/12/99
Attorney Docket No. : P-7670.03-C3

**RESPONSE TO REQUEST
FOR REFUND**

This is in response to your letter submitted on February 22, 2001 wherein you requested a refund of \$380.00

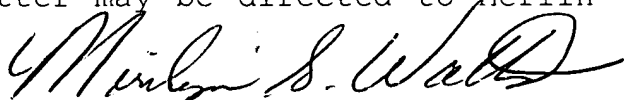
The request for refund is **DENIED**.

After thorough inspection of U.S. Patent and Trademark Office physical records and Revenue Accounting Management financial records, it is determined that you are not entitled to a refund of \$380.00.

According to our records serial number 09/018,104 was filed with a total of 40 claims with 8 independent claims. Applicant filed a CPA on December 1, 2000. Applicant's deposit account was charged \$180.00 for the additional claims in excess of 20 & \$200.00 was charged to your deposit account for additional claims over 3 independent claims. Applicant suggested in the request for refund that claims 15,16, & 25-40 was canceled. Applicant maybe correct in stating that claims 15, 16 & 25-40 are withdrawn however; these claims are still pending in the application. Please note the office action mailed to applicant on October 8, 1999 indicates on the PTO-326 that claims 1-40 pending although the examiner may have used the language "withdrawn from consideration", this is not considered an authorization to cancel claims.

Please review the attached form PTO-2022 (Claims only Sheet) and the Patent Application Fee Determination Record Sheet.

Telephone inquiries concerning this matter may be directed to Merlin S. Watts (703)308-1730


Marilyn Watts
Supervisory Legal Instrument Examiner
Group 3700

PATENT APPLICATION FEE DETERMINATION RECORD

Effective October 1, 2000

Application or Docket Number

09/018,104

CLAIMS AS FILED - PART I

(Column 1) (Column 2)

TOTAL CLAIMS		
FOR	NUMBER FILED	NUMBER EXTRA
TOTAL CHARGEABLE CLAIMS	minus 20=	* 20
INDEPENDENT CLAIMS	minus 3 =	* 5
MULTIPLE DEPENDENT CLAIM PRESENT <input type="checkbox"/>		

SMALL ENTITY TYPE ☐

OR OTHER THAN SMALL ENTITY

RATE	FEE
BASIC FEE	355.00
X\$ 9=	180.00
X40=	200.00
+135=	
TOTAL	735.00

RATE	FEE
BASIC FEE	710.00
X\$18=	
X80=	
+270=	
TOTAL	

* If the difference in column 1 is less than zero, enter "0" in column 2

CLAIMS AS AMENDED - PART II

(Column 1) (Column 2) (Column 3)

AMENDMENT A	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
	Total	*	Minus	**
	Independent	*	Minus	***
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/>			

SMALL ENTITY OR

OTHER THAN SMALL ENTITY

RATE	ADDITIONAL FEE
X\$ 9=	
X40=	
+135=	
TOTAL ADDIT. FEE	

RATE	ADDITIONAL FEE
X\$18=	
X80=	
+270=	
TOTAL ADDIT. FEE	

(Column 1) (Column 2) (Column 3)

AMENDMENT B	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
	Total	*	Minus	**
	Independent	*	Minus	***
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/>			

RATE	ADDITIONAL FEE
X\$ 9=	
X40=	
+135=	
TOTAL ADDIT. FEE	

RATE	ADDITIONAL FEE
X\$18=	
X80=	
+270=	
TOTAL ADDIT. FEE	

(Column 1) (Column 2) (Column 3)

AMENDMENT C	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
	Total	*	Minus	**
	Independent	*	Minus	***
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/>			

RATE	ADDITIONAL FEE
X\$ 9=	
X40=	
+135=	
TOTAL ADDIT. FEE	

RATE	ADDITIONAL FEE
X\$18=	
X80=	
+270=	
TOTAL ADDIT. FEE	

* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20."

*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3."

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

CLAIMS ONLY

SERIAL NO.

09/018,104

FILING DATE

2/3/98

APPLICANT(S)

Hobart

CLAIMS

	AS FILED		AFTER 1st AMENDMENT		AFTER 2nd AMENDMENT	
	IND.	DEP.	IND.	DEP.	IND.	DEP.
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TOTAL IND.	8	↓	8	↓		↓
TOTAL DEP.	32	↓	32	↓		↓
TOTAL CLAIMS	40		40			

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TOTAL IND.		↓		↓		↓
TOTAL DEP.		↓		↓		↓
TOTAL CLAIMS						

* MAY BE USED FOR ADDITIONAL CLAIMS OR ADMENDMENTS